

Lanthanide Chemical Shift Reagents as Tools for Determining Isomer Distributions in 2,4-Hexadienoates and Related Compounds

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(Received 17 November 1973; accepted 18 December 1973)

Abstract—Quantitative analysis of geometrical isomers of unsaturated esters and alcohols is facilitated with $\text{Eu}(\text{fod})_3$. Commercial sorbic acid exists in the all-*trans* form, while sorbyl alcohol contains c. 10% of the *cis*-4,5 isomer.

NMR CHEMICAL shift reagents continue to find widening application in elucidating coupling constants and geometric configurations¹ of organic molecules. Esters,² alcohols and ketones³ give greatly simplified spectra with $\text{Eu}(\text{fod})_3$ **1** at Eu/substrate concentrations c. 0.2 to 0.5. Recently Swern and Wineburg⁴ demonstrated the utility of **1** in elucidating possible branching in the structures of long chain fatty acids. We wish to report that **1** is a useful reagent for qualitative and quantitative estimations of isomer distributions in sorbate esters and sorbyl alcohol.

In a related study⁵ we wished to determine the isomer distribution of 2,4-hexadienoic (sorbic) acid obtained from various natural and commercial sources. Since acids decompose shift reagents,⁶ commercial sorbic acid (Aldrich) was treated with diazomethane. The resulting ester gave a complex, non-analyzable olefinic NMR pattern. Addition of **1** to $\text{CH}_3\text{CH}=\text{CHCH}=\text{CHCO}_2\text{Me}$ (**2**) gave a first-order spectrum at a c. 0.4 **1**/ester molar ratio, the spectrum showing six cleanly separated resonances consistent with the all-*trans* configuration: (OCH_3 , s), (H_α , d, $J_{\alpha\beta} = 16$ Hz), (H_β , d of d, $J_{\beta\gamma} = 10$ Hz), (H_γ , d of d, $J_{\gamma\delta} = 15$ Hz), (H_δ , six lines, $J_{\delta-\text{CH}_3} = 6$ Hz), (CH_3 , d). The same spectrum was obtained from ester prepared from sorbic acid, methanol and sulfuric acid. However, when ester prepared from sorbyl chloride and methanol was so analyzed, new multiplets appeared in the spectrum, indicating the presence of another isomer. A second OCH_3 singlet appeared upfield together with second upfield patterns for both H_α and H_β . These exhibited the same multiplicity and coupling constants as above. However, the H_γ and H_δ patterns still overlapped with those from all-*trans* isomer. The terminal CH_3 showed a new doublet *downfield* from the corresponding all-*trans* isomer doublet, with long range doublet splitting,⁷ in accord with H_γ and H_δ being *cis*. Integration showed a 17% concentration of the 2-*trans*-4-*cis* isomer.

The induced shift ratio concept elaborated by Swern and Wineburg⁴ is of utility in analyzing these data. The induced shift ratio Ξ is independent of shift reagent concentration, and depends only on the relative shifts of a particular proton H_x and a standard proton H_α in

the same molecule, which in esters, alcohols and ketones is defined as the proton most proximate to the functional group. $\Xi_x = (\delta_{\text{Eu}} - \delta_0)_x / (\delta_{\text{Eu}} - \delta_0)_\alpha$, where δ_{Eu} and δ_0 are respectively the chemical shifts with and without the presence of shift reagent. The induced shift ratios of the two sorbic acid isomers, together with the relative chemical shifts of the protons in the two isomers, are given in Table 1.

TABLE 1. INDUCED SHIFT RATIOS FOR ALL-*trans* (**2a**) AND 2-*trans*-4-*cis* (**2b**) METHYL SORBATE^{a,b}

	H_α	OCH_3	H_β	H_γ	H_δ	$\text{C}-\text{CH}_3$
$\delta_{\text{H}}/\delta_{2a}$	0.93	0.89	0.97	0.98	1.00	1.18
Ξ_{2a}^c	1.0	1.05	0.99	0.22	0.11	0.04
Ξ_{2b}^d	1.0	1.01	1.08	0.18	0.07	0.15

^a At 60 MHz, CCl_4 solution. ^b Relative to $\text{H}_\alpha = 1.0$. ^c Average of 8 runs with Eu/ester molar ratio 0.03 to 0.4. ^d At Eu/ester = 0.35.

As Ξ in long chain alcohols and esters is a function monotonically decreasing with increasing distance from the complexation site,⁴ it is of interest to note the inversion of Ξ_{H_δ} and $\Xi_{\text{C}-\text{CH}_3}$ in the isomers **2a** and **2b**. Examination of structure diagrams shows that H_δ and $\text{C}-\text{CH}_3$ exchange their spatial locations relative to the ester function as the 4,5-double bond inverts configuration.

Although the criteria of lanthanide induced shifts obeying a r^{-3} 'distance only' relationship has been substantially refuted,⁸ it is conceded that shift reagents will associate with substrates so as to minimize steric interaction, and that only molecules in which the substrate is forced (by steric constraints) to envelop the metal ion will be expected to show large variations of Φ in the pseudo-contact shift equation, $\Delta H/H = K(3 \cos^2 \Phi - 1)/r^3$. The noncyclic materials used in this study are expected to closely approximate the 'distance only' criterion of induced shift.

2-*cis*-4-*trans*-Hexadienoic acid is known to be an unstable, readily polymerizing compound⁵ and the all-*cis* isomer is unknown, hence $\text{Eu}(\text{fod})_3$ spectra of these compounds were not obtained. Quantitative analysis of mixtures containing these isomers should be possible, as Ξ_{H_β} and Ξ_{H_γ} should behave as Ξ_{H_δ} and $\Xi_{\text{H}_{\text{CCH}_3}}$ did when the 4,5 double bond reversed configuration, moving the H_β and H_γ resonances to unobscured regions of the spectrum.

Although definite proof awaits further study, we speculate that the 4-*cis*-sorbate produced in the thionyl chloride mediated esterification is formed via a Diels-Alder reaction with SO_2 , enolization and retro-Diels-Alder reaction sequence.

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Commercial 2,4-hexadienol (Eastman) (3), treated with 1, gave a first-order 60 MHz spectrum at Eu/ester = 0.4, which indicated a predominantly all-*trans* configuration: H¹, d, J₁₂ = 5; H², t of d, J₂₃ = 14; H³, d of d, J₃₄ = 10; H⁴, d of d, J₄₅ = 14; H⁵, q of d, J₅₆ = 5.5; H⁶, d. The induced shift ratios Ξ : H¹, 1.0; H², 0.56; H³, 0.53; H⁴, 0.22; H⁵, 0.11; H⁶, 0.05; OH, 3.96 supported this conclusion. However, the H⁶ region of the spectrum showed a downfield second doublet with long range doublet splitting. The long range splitting⁷ and Ξ value of this doublet implied that it arose from the 4,5-*cis* isomer of sorbyl alcohol. From peak height measurement the concentration of 4,5-*cis*-2,4-hexadienol in commercial sorbyl alcohol can be estimated as 10%.

Several other commercial materials were analyzed by this technique for geometric isomers, including 4-phenyl-3-buten-2-one and methyl cinnamate. These compounds all gave first-order spectra at Eu/substrate = 0.4 or less. The spectra indicated the presence of only the *trans* isomer. We estimate that the Eu(fod)₃ method

would detect the presence of c. 2% of a *cis* isomer. Further applications of shift reagents to structural problems are under investigation.

Acknowledgement—This investigation was sponsored under Grant No. CA-13120-02 from the National Cancer Institute.

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